



Smalley Pre-school Recording and Reporting of Accidents and Incidents Policy

(Registered Charity No. 1033193)

**Church Hall, Main Road, Smalley, Ilkeston, Derbyshire DE7 6EF
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Policy statement

We follow the guidelines of the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations (RIDDOR) 2013 for the reporting of accidents and incidents. Child protection matters or behavioural incidents between children are not regarded as incidents, and there are separate procedures for this.

Procedures

Our accident book:

- is kept in a safe and secure place;
- is accessible to our staff and volunteers, who all know how to complete it; and
- is reviewed at least half termly to identify any potential or actual hazards.

Reporting accidents and incidents

- Ofsted is notified as soon as possible, but at least within 14 days, of any instances that involve:
 - food poisoning affecting two or more children looked after on our premises;
 - a serious accident or injury to, or serious illness of, a child in our care and the action we take in response; or
 - the death of a child in our care.
- Local child protection agencies are informed of any serious accident or injury to a child, or the death of any child, while in our care and we act on any advice given by those agencies.
- Any food poisoning affecting two or more children or adults on our premises is reported to the local Environmental Health Department.
- We meet our legal requirements in respect of the safety of our employees and the public by complying with RIDDOR. We report to the local authority (LA). We report to the Health and Safety Executive (HSE):
 - any work-related accident leading to an injury to a member of the public (child or adult), for which they are taken directly to hospital for treatment.
 - any work-related accident leading to a specified injury to one of our employees. Specified injuries include injuries such as fractured bones, loss of consciousness due to a head injury, serious burns or amputations;
 - any work-related accident leading to an injury to one of our employees that results in them being unable to work for seven consecutive days. All work-related injuries that lead to one of our employees being incapacitated for three or more days are recorded in our accident book;
 - when one of our employees suffers from a reportable occupational disease or illness as specified by the HSE;

- any death, of a child or adult, that occurs in connection with a work-related accident; or
- any dangerous occurrences. This may be an event that causes injury or fatalities or an event that does not cause an accident but could have done, such as a gas leak.
- Information for reporting incidents to the LA or HSE is provided in the *Accident Record* (Early Years Alliance 2017). Any dangerous occurrence is recorded in our incident book (see below).

Incident book

- We have ready access to telephone numbers for emergency services, including the local police. Where we rent premises, we ensure we have access to the person responsible and that there is a shared procedure for dealing with emergencies.
- We ensure that our staff and volunteers carry out all health and safety procedures to minimise risk and that they know what to do in an emergency.
- On discovery of an incident, we report it to the appropriate emergency services – fire, police and/or ambulance – if those services are needed.
- If an incident occurs before any children arrive, we risk assess this situation and decide if the premises are safe to receive children. We may decide to offer a limited service or to close the setting.
- Where an incident occurs while the children are in our care and it is necessary to evacuate the premises/area, we follow the procedures in our Fire Safety Policy or, when on an outing, the procedures identified in the risk assessment for the outing.
- If a crime may have been committed, we ask all adults witness to the incident to make a witness statement, including the date and time of the incident, what they saw or heard, what they did about it, and their full name and signature.
- We keep an incident book for recording major incidents, including some of those that that are reportable to the LA or HSE as above.
- These incidents include:
 - a break-in, burglary, or theft of personal or our setting's property;
 - an intruder gaining unauthorised access to our premises;
 - a fire, flood, gas leak or electrical failure;
 - an attack on an adult or child on our premises or nearby;
 - any racist incident involving families or our staff on the setting's premises;
 - a notifiable disease or illness, or an outbreak of food poisoning affecting two or more children looked after on our premises;
 - the death of a child or adult; and
 - a terrorist attack, or threat of one.
- In the incident book, we record the date and time of the incident, the nature of the event, who was affected, what was done about it and if it was reported to the police (if so, we record the crime number). Any follow-up action or insurance claim made is also recorded.
- In the event of a terrorist attack, we follow the advice of the emergency services in regard to evacuation, medical aid and contacting children's families. Our Fire Safety Policy will be followed, and our staff will take charge of their key children. The incident is recorded when the threat is averted.

- In the unlikely event of a child dying on our premises, the emergency services are called and the advice of these services is followed.
- The incident book is not for recording issues of concern involving a child. This is recorded in the child's own file.

Education Inspection Framework

- As required under the *Education Inspection Framework*, we maintain a summary record of all accidents, exclusions, children taken off roll, incidents of poor behaviour and discrimination (including racist incidents), and complaints and resolutions.


Legal framework

[Reporting of Injuries, Diseases and Dangerous Occurrences Regulations \(RIDDOR\) Regulations 2013](#)
[The Health and Safety \(Enforcing Authority\) Regulations 1998](#)

Further guidance

[Education Inspection Framework](#) (Ofsted, 2025)
[Early Years Inspection Toolkit](#) (Ofsted, 2025)
[RIDDOR Guidance and Reporting Form](#)
 Accident Record (Early Years Alliance)
 CIF Summary Record (Early Years Alliance)
 Reportable Incident Record (Early Years Alliance)

This policy was adopted by Smalley Pre-school

On	16.01.26
Date to be reviewed	16.01.27
Signed on behalf of the provider	
Name of signatory	Joanne Rothwell
Role of signatory (e.g. chair, director or owner)	Chair of the Committee